

Financial Services Guide

Purpose of this Financial Services Guide (FSG)

The promotion of horse racing schemes is a financial service regulated by the Corporations Act and requires Stable Connect Limited as the holder of an Australian Financial Services Licence (“Licence”), to provide you with this Financial Services Guide (“FSG”) prior to promoting an interest in a horse racing scheme to you. This FSG is intended to inform you of certain basic matters relating to the services that we may provide to you. After reading this FSG, you will know:

- Who we are and how to contact us
- What financial services can be provided to you and how these services will be provided to you
- How we (and any other relevant persons) may be remunerated
- How to access our internal and external complaints handling arrangements

It is intended that this FSG should assist you in determining whether to utilise any of the financial services that we are authorised to provide.

This FSG contains general information only and specific information relating to the horse racing schemes promoted by us is contained in the Product Disclosure Statement for each scheme which is available on request.

About Stable Connect and its Authorised Representatives

Stable Connect Limited (ABN 13 135 494 647) (“Stable Connect”) is an Australian Financial Services Licensee, Licence No 336964.

Stable Connects’ Head Office is located at
Level 6, 45 William Street Melbourne Vic 3001
Ph: (03) 9629 3023
Fax: (03) 9629 3041
Email: info@stablefinancial.com.au
Website: stablefinancial.com.au

Stable Connect appoints Authorised Representatives to act on its behalf for the provision of authorised financial services. Harney Stone Racing is an Authorised Representative of Stable Connect. Stable Connect is therefore responsible for the financial services provided by it and its Authorised Representatives. Details of Harney Stone Racing are set out in the attached “Authorised Representatives Profile”. The Authorised Representatives Profile forms part of this FSG and they should be read together.

What financial services are we authorised to provide?

Stable Connect can offer financial services that include the following areas:

- Issue of interests in horse racing schemes. To obtain an interest in a horse racing scheme you need to obtain from Stable Connect or its Authorised Representatives a Product Disclosure Statement in relation to the relevant horse and complete the purchaser's acknowledgement and application
- General advice in relation to horse racing schemes. Stable Connect and its Authorised Representatives are not authorised to provide personal advice (being advice that takes into account a person's objectives, financial situation and needs) in relation to horse racing schemes.

How are we paid and how will you pay for the service?

There is no charge for general advice given to you by Stable Connect and its Authorised Representatives relating to the acquisition of an interest in a horse racing scheme. If you do choose to invest in one of Stable Connect's horse racing schemes you will be required to pay the purchase price for your relevant interest in that scheme. The purchase price for an interest varies according to the particular horse that is the subject of a racing scheme and the number of participants in that scheme. The Product Disclosure Statements for Stable Connect's relevant syndicated horses contains details of the purchase price.

As stated above Stable Connect and its Authorised Representatives do not charge for giving general advice in relation to horse racing schemes. In relation to the interest in a horse racing scheme, the purchase price that you pay Stable Connect or its Authorised Representatives represents a proportion (based on the number of participants in the scheme) of the acquisition costs for that horse, the costs of promotion (a breakdown of these costs is contained in the Product Disclosure Statement for each syndicated horse) and in some cases a margin.

Is an interest in a horse racing syndicate an investment suitable to my needs and financial circumstances?

As stated above, Stable Connect and its Authorised Representatives give general advice only and do not give personal advice that takes into account an investor's objectives, financial situation and needs.

A horse racing syndicate is a speculative investment with its own risks and each investor should obtain their own independent advice as to the suitability of such an investment for them. A copy of the Product Disclosure Statement for each horse racing scheme containing important details about that scheme is available to you on request and we suggest you study it prior to making any decision to invest.

How can you access Stable Connect's complaints handling arrangements?

Stable Connect is a member of the Financial Ombudsmen Service (FOS).

If you have a complaint about either the advice that Stable Connect or its Authorised Representatives has given you or about an interest in a horse racing scheme that you have acquired from us, you should take the following action:

1. Speak to the Authorised Representative in the attached profile about your concerns, or
2. Contact us on 03 9629 3023 and ask to speak to our Professional Standards Manager.

If after speaking to the Authorised Representative or our Compliance Officer, your complaint is not resolved within five (5) days, please put your complaint in writing and send it to us, addressed to:

Professional Standards Manager,
Stable Connect Limited
Level 6/45 William Street
Melbourne Vic 3001

Whilst every endeavour will be made to resolve the matter promptly and impartially, if you are not satisfied with how your complaint is dealt with, you can elect to refer the matter, free of charge, to the FOS. FOS can be contacted on 1300 780 808. You can also write to them at:

Financial Ombudsmen Service
GPO Box 3, Melbourne VIC 3001
Fax: 03 9613 6399
Email: info@fos.org.au
Website: www.fos.org.au

Professional Indemnity Insurance

Stable Connect is required to have adequate Professional Indemnity Insurance in place to cover us and our Authorised Representatives for liability arising from the financial services we provide. Stable Connect obtained Professional Indemnity Insurance from Dual Australia Pty Ltd on 20 August 2009 which is renewed annually. The policy incorporates cover for all current and past employees of the promoter associated with this promotion at the time of registration.

Authorised Representatives Profile

This Authorised Representatives Profile is part of the Stable Connect Ltd (Stable Connect) Financial Services Guide (FSG) and should be read in conjunction with this document.

Who is the Authorised Representative?

The Authorised Representative entity is Harney Stone Racing Pty Ltd, ACN 163 788 823 Authorised Representative number 001258347.

Business Address: 610/71 Abinger Street, Richmond VIC 3121
Telephone: (+61) 487 026 021
Email: stephen@harneystoneracing.com.au

And the individual adviser appointed by this company to provide the service is:

Stephen Francis Harney , Authorised Representative No 001258349

What kinds of financial products and services can Harney Stone Racing provide?

Harney Stone Racing Pty Ltd and its Authorised Representative have been authorised to:

- Provide general advice in relation to horse racing schemes.
- Issue interests in horse racing schemes

Client Acknowledgement (to be retained by your Authorised Representative)

I/We acknowledge that I/we have received a copy of this FSG (dated January 2017) on:
 _____ (insert date given)

I/We acknowledge that I/we have been informed that Stable Connect Limited is only responsible for authorised financial services of the Authorised Representative as set out in this FSG and Authorised Representatives Profile.

I/We acknowledge that Stable Connect Limited is not responsible to me/us in relation to any other business activities of the Authorised Representative, their staff or its Authorised Representatives.

Client name(s):		
Client signature(s):		
Date:		